Complexity-Theoretic Cryptography

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Outline

Introduction

• The Informal Definition of One-Way Function.

Complexity Theory - Basic Definitions

- Time Complexity
- An Intermezzo: One-Way Function Definition I
- Probabilistic Time Complexity

One-Way Function

- Definition
- Candidates for One-Way Functions
- Collection of One-Way Functions
- Collection of Trapdoor Functions

Hard-Core Predicate

- Motivation Bit-Security of EXP
- Definition
- A generic Hard-Core Predicate

Epilog







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Definition

A function *f* is called one-way, if *f* is easy to compute but hard to invert.

• Find proper definitions of easy and hard.

- Use computational complexity theory:
 - Classify problems according to their computational difficulty.
 - Classify problems according to needed resources (like time, storage space,...).
 - Our focus: time complexity.
 - Computational models: Turing machine, boolean circuits,...
- Basic definitions of complexity theory.

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Complexity Theory - Basic Definitions Algorithm; Running Time.



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Complexity Theory - Basic Definitions Algorithm; Running Time.



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Complexity Theory - Basic Definitions Algorithm; Running Time.





Complexity Theory - Basic Definitions Polynomial Time Algorithm



Otherwise: Exponential time algorithm

growing of poly., sub-exp., exp. functions				
$f(\mathbf{x})$	n ²	n ³	$\exp(\sqrt{n \ln n})$	2 ⁿ
x				
10	10 ²	10 ³	1.2 · 10 ²	
10 50	$2.5 \cdot 10^{3}$	10 ³ 1.2 · 10 ⁵ 10 ⁶	10 ⁶	10 ¹⁵
100	10 ⁴	10 ⁶	2 · 10 ⁹	10 ³⁰

Notes

- polynomial time algorithm \Leftrightarrow efficient
- exponential time algorithm ⇔ inefficient

decision problem L





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Complexity Theory - Basic Definitions Complexity Class.

Fact

• $\mathcal{P} \subseteq \mathcal{NP}$

Examples

• PRIMES $\in \mathcal{P}$

• 3-Coloring-Problem: It is widely assumed that $3COL := \{G : G \text{ is 3-colorable finite Graph}\} \notin P$

But $\forall G \in 3COL$ exists a **PT C** that makes G 3-colored $\Rightarrow 3COL \in \mathcal{NP}$.

A function $f : \{0,1\}^* \to \{0,1\}^*$ is called one-way if the following two conditions hold

- f is easy to compute
- f is hard to invert.

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- $\exists \mathsf{PT} \mathsf{A}: \mathsf{A}(x) = f(x) \qquad \forall x \in \{0, 1\}^*$
- f is hard to invert.

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- \nexists **PT A': A'**(*f*(*x*)) = *x*' with *f*(*x*') = *f*(*x*) $\forall x \in \{0, 1\}^n$

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Example (FACTORING)

Let $f_{mult}(p, q) := pq$, p, q primes. Assumption: FACTORING $\notin \mathcal{P} \Rightarrow f_{mult}$ is one-way (according to the above definition)

Observation of f_{mult}

- for $p,q \in \mathsf{PRIMES}: |p| \approx |q|$ huge, inverting $f_{\textit{mult}}(p,q)$ is indeed hard
- But for half of the integers, finding an inverse of *n* := *f_{mult}*(*p*, *q*) is very easy:

$$f_{mult}(n/2,2) \in f_{mult}^{-1}(n)$$

- \Rightarrow Definition has to be improved.
 - Substitute: worst-case complexity \Rightarrow average-case complexity
 - success probability of an inverting algorithm should be negligible
- \Rightarrow randomized algorithms
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Complexity Theory - Basic Definitions Randomized Algorithm



probabistic polynomial time, if worst case running time $(n) \leq poly(n) \forall n$

Complexity Theory - Basic Definitions Complexity Class BPP



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Complexity Theory - Basic Definitions Complexity Class BPP



Notes

BPP remains same with

 $\mathsf{P}(\mathsf{A}(x) = \chi_L(x)) \ge \frac{1}{2} + \frac{1}{p(|x|)}, p$ polynomial instead.

• $\mathcal{BPP} \Leftrightarrow$ 'efficiently' computable.



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- $\exists PPT A : \forall x \in \{0,1\}^* : A(x) = f(x)$
- ∀PPT A' : P (A' successful) < 1/p(n) for all polynomials p and sufficiently large integers n

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Notes

• Adversary is not unable to invert *f*, but has low probability to do so.

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- Adversary is not unable to invert *f*, but has low probability to do so.
- Definition works with asymptotic complexity: A sufficiently large *security* parameter n makes inversion infeasible.

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Notes

- Adversary is not unable to invert f, but has low probability to do so.
- Definition works with asymptotic complexity: A sufficiently large *security* parameter n makes inversion infeasible.
- If f is 1 1 then $f^{-1}(f(x)) = x$.

A function $f : \{0,1\}^* \to \{0,1\}^*$ is called length preserving if $\forall x \in \{0,1\}^* : |f(x)| = |x|$

A permutation is a length-preserving function *f* which is 1-1.

Lemma (Length-preserving)

If there exists a one-way function, then we can construct a length-preserving one-way function f:

$$orall x \in \{0,1\}^*: |f(x)| = |x|$$

Proof by reducibility arguments.

FACTORING-problem

FACTORINGInstance:positive integer nQuestion:Find the prime factorization $n = \prod_i p_i^{e_i}$

Algorithms

- NUMBER FIELD SIEVE (1990) sub-exponential expected running time exp(1.9(log n)^{1/3}(log log n)^{2/3))}
- Special-purpose algorithms, like POLLARD'S p 1

Candidates Based on Factoring.

A One-Way Function by Rivest, Shamir, Adleman

RSA function

RSA _{n,e}	where $n = pq$, $ p = q $ primes, $gcd(e, \varphi(n)) = 1$
•	x positive integer
output:	$RSA_{n,e}(x) := x^e \mod n$

RSA_{n,e} assumed to be one-way

Fact (FACTORING vs. INVERTING-RSA)

If n can be factored by a **PPT** \Rightarrow RSA_{n,e} can be inverted by a **PPT** INVERTING-RSA \leq_P FACTORING

Open Problem - FACTORING vs. INVERTING-RSA

Are FACTORING and INVERTING-RSA computationally equivalent?

The SQUARE-Function by Rabin

Rabin's SQUARE function

SQUARE _n	where $n = pq$, p , q primes and $ p = q $
input:	$x \in \mathbb{Z}_n^*$
output:	$SQUARE_n(x) := x^2 \mod n$

• SQUARE_n is not 1-1

• But SQUARE_n restricted to Q_n is a permutation, if $n \in \{pq : p, q \text{ distinct odd primes}, |p| = |q|, p \equiv q \equiv 3 \mod 4\}$ $Q_n := \{x : x \in \mathbb{Z}_p^*, \exists y \in \mathbb{Z} : y^2 \equiv x \mod n\}$ quadratic-residues

Fact (FACTORING vs. INVERTING-SQUARE)

FACTORING(n) and INVERTING-SQUARE_n are computationally equivalent!

One-Way Function - In Search of Examples

DLP The Discrete Logarithm Problem

DLP - discrete logarithm problem

DLP Instance: a finite cyclic Group *G* of order *n* a generator α of *G* an element $\beta \in G$ Question: Find the integer $x, 0 \le x \le n-1$: $\alpha^x = \beta$

• Given the prime factorization $n = \prod_i p_i^{e_i}$ the DLP in *G* can be reduced to **DLP**'s in the groups $\mathbb{Z}_{p_i}^*$

Algorithms

Best randomized algorithms in sub-exponential running time.

EXP function

 $\begin{array}{ll} \mathsf{EXP}_{p,\alpha} & \text{where } p \text{ prime and } \alpha \text{ generator of } \mathbb{Z}_p^* \\ \text{input:} & x \in \mathbb{Z}_p^* \\ \text{output:} & \mathsf{EXP}_{p,\alpha}(x) := \alpha^x \mod p \end{array}$

• EXP is one-way, assuming DLP is hard

Assumptions for concrete candidates:

FACTORING efficiently computable \Rightarrow RSA not one-way FACTORING efficiently computable \Leftrightarrow SQUARING not one-way DLP efficiently computable \Leftrightarrow EXP not one-way

Traditional assumption. hard to break in worst case

f computable by **PT** \Rightarrow inverse under *f* computable by *non-det*. **PT**: $\hookrightarrow \mathcal{P} = \mathcal{NP} \Rightarrow$ One-Way Function not exist.

Intractability assumption. hard to break in average

We assume the adversary uses a **PPT** $\hookrightarrow \mathcal{NP} \subseteq \mathcal{BPP} \Rightarrow \text{One-Way Function not exist.} (\mathcal{NP} \nsubseteq \mathcal{BPP} \Rightarrow \mathcal{P} \neq \mathcal{NP})$

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One-Way Function

Existence of One-Way Function cannot be proved yet.



Problem

- Tradtional assumption and Intractability assumption are only necessary but not sufficient conditions.
- Existence of One-Way Functions not provable yet.
- Implementation based on reasonable 'intractability assumptions', like FACTORING, DLP.

Image: A matrix



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$$f: \{0,1\}^* \to \{0,1\}^*$$

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infinite domain

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• Suitable for abstract discussion

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- Suitable for abstract discussion
- ..but not for natural candidates:

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$$EXP_{p,\alpha}: \{1, ..., p-2\} \to \{0, 1\}^*$$

finite domain

A larger View: Collection

$$f_i: D_i \to \{0, 1\}^*$$
$$f_i : \frac{D_i}{D_i} \to \{0, 1\}^*$$
finite domain

$$F := \{f_i : \frac{D_i}{D_i} \to \{0,1\}^*\}_{i \in I}$$
finite domain

$$F := \{f_i : D_i \to \{0, 1\}^*\}_{i \in I}$$

infinite set



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• The f_i sharing a common Index Sampler S_I

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infinite set

- The f_i sharing a common Index Sampler S_I
- The f_i sharing a common Domain Sampler S_D

Security parameter $n \in \mathbb{N}$

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Security parameter $n \in \mathbb{N}$ **PPT** S_I Index sampler $i \in I \cap \{0, 1\}^n$







Definition

Let *I* be a set of indices and $D_i \subset \{0, 1\}^*$ finite $\forall i \in I$. A collection of one-way functions is a set

 $F = \{f_i : D_i \to \{0,1\}^*\}$

satisfying the following two conditions

1 There exists tree **PPT** S_I , S_D , A, such that

S_i on input 1^{*n*} outputs an $i \in \{0, 1\}^n \cap I$ **S**_D on input $i \in I$ outputs an $x \in D_i$ **A** on input $i \in I$ and $x \in D_i$ it holds that $A(i, x) = f_i(x)$

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2 The probability of finding an inverse for every PPT given *i* and an element in range is negligible, if we consider the distribution induced by S_I, S_D.

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2 The probability of finding an inverse for every **PPT** given *i* and an element in range is negligible, if we consider the distribution induced by **S**_I, **S**_D. For every **PPT A**', every polynomial $p(\cdot)$ and sufficiently large *n*: $P(\mathbf{A}'(f_{l_n}(X_n), l_n) \in f_{l_n}^{-1}(f_{l_n}(X_n))) < \frac{1}{p(n)}$

Definition

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 $\mathsf{P}\left(\mathsf{A}'(f_{l_n}(X_n), I_n) \in f_{l_n}^{-1}(f_{l_n}(X_n))\right) < \frac{1}{p(n)}$

 I_n, X_n random variable describing output distribution of S_I, S_D

Collection Of One-Way Functions $EXP := \{EXP_{p,\alpha} : \mathbb{Z}_{p-1} \rightarrow \{0,1\}^*\}$



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Collection Of Trapdoor Functions



Collection Of Trapdoor Functions



Collection Of Trapdoor Functions





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Hard-Core Predicate - Motivation **Bit-Security of EXP**



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How secure is *EXP*?



How secure is *EXP*?



How secure is *EXP*?



- A one-way function doesn't hide partial information
- But at least one Bit of information is hard to guess









Instance

- a function $f : \{0, 1\}^* \to \{0, 1\}^*$
- a predicate $b: \{0,1\}^* \rightarrow \{0,1\}$

Definition

b is a hard-core predicate of f, iff

• $\exists \mathbf{PPT} \mathbf{A}$, such that $\forall x : \mathbf{A}(x) = b(x)$

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Definition

b is a hard-core predicate of f, iff

- \exists **PPT A**, such that $\forall x : \mathbf{A}(x) = b(x)$
- Every efficient algorithm given f(x) can guess b(x)only with success probability negligible better than $\frac{1}{2}$

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Definition

b is a hard-core predicate of f, iff

- \exists **PPT A**, such that $\forall x : \mathbf{A}(x) = b(x)$
- \forall **PPT G**, \forall *p* polynomial and sufficiently large *n*:

$$\mathbf{P}(G(f(U_n)) = b(U_n)) < \frac{1}{2} + \frac{1}{p(n)}$$

A Hard-Core Predicate for 'any' One-Way Function.

Instance

- $f: \{0,1\}^* \rightarrow \{0,1\}^*$ length preserving
- g(x, r) := (f(x), r), where |x| = |r|
- $b(x,r) := \langle x, r \rangle_{mod2} := \sum_{i} (x_i r_i \mod 2)$

Theorem

Let f be a length-preserving one-way function, and let g, b defined like above. Then b is a hard-core predicate of the function g.

Notes

It means: it is infeasible to guess the exclusive-or of a random subset of the bits of x, when given f(x) and the subset itself, denoted by r.

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Proof sketch.

We use a 'reducibility argument' and proof by contradiction:

1 Suppose: *b* is not hard-core predicate of *g* Then there exists an *efficient* algorithm **G**, that can guess *b* with non-negligible probability better $\frac{1}{2}$:

 $\Rightarrow \exists \mathbf{PPT} \mathbf{G}, \exists p \text{ polynomial:}$

$$\varepsilon(n) := \mathbf{P}(\mathbf{G}(f(X_n, R_n) = b(X_n, R_n)) - \frac{1}{2} > \frac{1}{p(n)})$$

- 2 Construct an *efficient* algorithm A (using G), which inverts f on input (f(x), r) with non-negligible probability
- 3 Conclude:

 $\exists \mathbf{G} \Rightarrow \exists \mathbf{A} \Rightarrow f \text{ not one-way}$

 \Rightarrow contradiction to *f* one-way.

Proof - Inverting Algorithm A

Idea I - a mental experiment

Important Observation

 $b(\mathbf{x}, \alpha) \oplus b(\mathbf{x}, \beta) = b(\mathbf{x}, \alpha \oplus \beta)$ $x_i = b(\mathbf{x}, \alpha) \oplus b(\mathbf{x}, \alpha \oplus \mathbf{e}_i)$

Mental Experiment

Suppose: Guessing by **G** works very good for a subset $S_n \subseteq \{0, 1\}^n$:

- **P**(**G** correct guess) = **P**(**G**(f(x), r) = b(x, r)) > $\frac{3}{4} + \frac{1}{2p(n)}$
- for all inputs f(x) with $x \in S_n$
- for all sufficiently large $n \in \mathbb{N}$

Algorithm **A** (guessing the i^{th} bit of the inverse):

- Randomly select $r \in \{0, 1\}^n$
- Sompute $z_i := \mathbf{G}(f(x), r) \oplus \mathbf{G}(f(x), r \oplus e_i)$

Success probability: $\mathbf{P}(\mathbf{A}(f(x)) \in f^{-1}(f(x))) > \frac{1}{2} + \frac{3}{4\rho(n)}$

 \hookrightarrow Repetition and rule by majority \Rightarrow efficiently computes x_i

Notice: $b(\mathbf{x}, \alpha) \oplus b(\mathbf{x}, \alpha \oplus \mathbf{e}_i) = \mathbf{x}_i \qquad \forall \mathbf{x}, \alpha, i$

Idea to construct **A** inverting f(x) for all $x \in S_n$

- Select a special subset S_n, where **G** works sufficiently successful.
- Use **G** to guess $b(x, r \oplus e_i)$
- Make own guess ρ for b(x, r)
- Both guess correct: $x_i = \rho \oplus \mathbf{G}(f(\mathbf{x}), \mathbf{r} \oplus \mathbf{e}_i)$

Claim I (S_n , where **G** guesses sufficiently good)

If *b* not hard-core, *n* sufficiently large, then there exists a subset $S_n \subseteq \{0, 1\}^n$, such that

- 'Large enough': $|S_n| \ge \frac{\varepsilon(n)}{2} 2^n$
- 'Succesful enough': $\forall x \in S_n : \pi(x) := \mathbf{P}(\mathbf{G}(x, R_n) = b(x, R_n)) \ge \frac{1}{2} + \frac{\varepsilon(n)}{2}$

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Proof - Inverting Algorithm A Idea II - p. J our own guess

Our guess

- Randomly select k strings $s_1, ..., s_k \in \{0, 1\}^n$ and k predicates $\sigma_1, ..., \sigma_k \in \{0, 1\}$ (by Laplace-Experiment)
- for every (non empty) index-subset $J \subseteq \{1, ..., k\}$:

$$r_{J} := \bigoplus_{j \in J} s_{j}$$

$$\Rightarrow b(x, r_{J}) = b(x, \bigoplus_{j \in J} s_{j}) = \bigoplus_{j \in J} b(x, s_{j})$$

$$\Rightarrow \rho_{J} := \bigoplus_{j \in J} \sigma_{j} \text{ our guess of } b(x, r_{J})$$

• Probability that $\rho_J = b(x, r_J)$ for all subsets $J \in \{1, ..., k\}$ is 2^{-k}

Algorithm (guesses *i*th bit)

Let **A** be the following **PPT** algorithm:

- Set $k := \left\lceil \log_2(2n \cdot p(n)^2 + 1 \right\rceil$
- ② Uniformly and Independent select $s_1, ..., s_k \in \{0, 1\}^n$, $\sigma_1, ..., \sigma_k \in \{0, 1\}$
- $\forall J \subseteq \{1, ..., k\}, J$ non-empty compute:

•
$$r_J \leftarrow \bigoplus_{j \in J} s_j$$

$$\rho_J \leftarrow \bigoplus_{j \in J} \sigma_j$$

•
$$z_J \leftarrow \rho_J \oplus \mathbf{G}(f(x), r_J \oplus e_i)$$

Output z the majority value of the z_J

Proof - Inverting Algorithm A Observing Events.

 $r_J \leftarrow \bigoplus_{i \in J} \mathbf{s}_i$ $\rho_J \leftarrow \bigoplus_{i \in J} \sigma_i$ $z_{i} \leftarrow \rho_{i} \oplus \mathbf{G}(f(x), r_{i} \oplus e_{i})$ compare: $x_{i} = b(x, r_{i}) \oplus b(x, r_{i} \oplus e_{i})$

$s_i \in \{0,1\}^n$ randomly chosen $\sigma_i \in \{0, 1\}$ randomly chosen

Events of interest

- Event \mathcal{E} : **G** guessing correct for majority of subsets $J \subseteq \{1, ..., k\}$: $\mathcal{E}: |\{J: \mathbf{G}(f(x), r_J \oplus \mathbf{e}_i) = b(x, r_J \oplus \mathbf{e}_i)\}| > \frac{1}{2}(2^k - 1)$
- Event \mathcal{F} : our guess correct for all subsets: $\mathcal{F}: \rho_J = b(x, r_J) \qquad \forall J \subseteq \{1, ..., k\}$

Probabilities

- Event E:
 - **P** ($\mathcal{E}|\mathbf{x} \in \mathbf{S}_n$) > $\frac{1}{2}$ (this we have to prove!)
- Event F.

 $\mathbf{P}(\mathcal{F}|\mathbf{x} \in \mathbf{S}_n) = \mathbf{P}(\forall J : \sigma_J = b(\mathbf{x}, \mathbf{s}_J) | \mathbf{x} \in \mathbf{S}_n) = 2^{-k}$ (Bernoulli)

Proof - Inverting Algorithm A Success Probability

$$\begin{aligned} & z_J \leftarrow \rho_J \oplus \mathbf{G}(f(\mathbf{x}), r_J \oplus e_i) \\ & \mathbf{P}\left(\mathcal{E}|\mathbf{x} \in S_n\right) > \frac{1}{2} \\ & \mathbf{P}\left(\mathcal{F}|\mathbf{x} \in S_n\right) = 2^{-k} \\ & |S_n| > \frac{\epsilon}{2} \cdot 2^n \ge \frac{1}{2p(n)}2^n \end{aligned}$$

 $\begin{aligned} \mathcal{E}: \ \mathbf{G} \ \text{correct for the majority of all } J's \\ \mathcal{F}: \ \rho_J \ \text{correct for all } J's \\ k := \left\lceil \log_2(2n \cdot p(n)^2 + 1 \right\rceil \end{aligned}$

Success Probability of Algorithm

$$\begin{split} & \mathbf{P}\left(\mathbf{A}(f(x)) \text{ outputs } i^{th} \text{ bit of an inverse of } f(x)\right) \\ & = \mathbf{P}\left(\text{For majority of all } J'\text{s: } z_J = x_i\right) = \mathbf{P}\left(\mathcal{E} \land \mathcal{F} | x \in S_n\right) \\ & = \mathbf{P}\left(\mathcal{E}\right) \cdot \mathbf{P}\left(\mathcal{F}\right) \cdot \mathbf{P}\left(x \in S_n\right) \text{ (Independence to be proved!)} \\ & > \frac{1}{2} \cdot 2^{-k} \cdot \frac{|S_n|}{2^n} = \frac{1}{8np(n)^3 + p(n)} = \frac{1}{poly(n)} \text{ not negligible!!!} \end{split}$$

ightarrow By repeating for all bits: we can efficiently compute *x*. ightarrow Contradiction to '*f* is one-way' ⇒ *b* is hard-core Predicate

Claim I: There existst S_n , where **G** guesses sufficiently good

If *b* not hard-core, *n* sufficiently large, then there exists a subset $S_n \subseteq \{0, 1\}^n$, such that

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Claim II: $\mathbf{P}(\mathcal{E}|x \in S_n) > \frac{1}{2}$

For every $x \in S_n$: $\mathbf{P}(|\{J : \mathbf{G}(f(x), r_J \oplus \mathbf{e}_i) = b(x, r_J \oplus \mathbf{e}_i)\}| > \frac{1}{2}(2^k - 1)) > 1 - \frac{1}{2p(n)}$

one-way functions are important primitives.

Formalizing and abstracting

The concept of one-way functions abstracts the central idea of many common cryptosystems:

- RSA
- RABIN-SQUARE
- ELGAMAL

As a basis

The introduced concept is a basis for more applicable theories:

- public key cryptosystems
- pseudorandom sequences
- hash functions

Basic definitions of computational complexity theory

- Formalized the definition of one-way function
- Discussed necessary conditions, like 'intractability assumption'
- Introduced the concept of one-way collections and trapdoor-collection
- Defined the hard-core predicate
- Proved the existence of a generic hard-core predicate

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