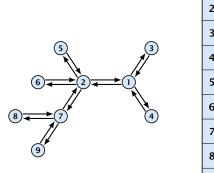
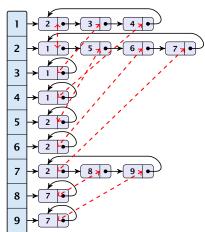
Tree Algorithms





Euler Circuits

Every node v fixes an arbitrary ordering among its adjacent nodes:

$$u_0, u_1, \ldots, u_{d-1}$$

We obtain an Euler tour by setting

$$\operatorname{succ}((u_i, v)) = (v, u_{(i+1) \bmod d})$$



Euler Circuits

Lemma 1

An Euler circuit can be computed in constant time $\mathcal{O}(1)$ with $\mathcal{O}(n)$ operations.



Rooting a tree

- split the Euler tour at node r
- this gives a list on the set of directed edges (Euler path)
- assign x[e] = 1 for every edge;
- perform parallel prefix; let $s[\cdot]$ be the result array
- if s[(u,v)] < s[(v,u)] then u is parent of v;



Postorder Numbering

- split the Euler tour at node r
- this gives a list on the set of directed edges (Euler path)
- ▶ assign x[e] = 1 for every edge (v, parent(v))
- ▶ assign x[e] = 0 for every edge (parent(v), v)
- perform parallel prefix
- ightharpoonup post(v) = s[(v, parent(v))]; post(r) = n



Level of nodes

- split the Euler tour at node r
- this gives a list on the set of directed edges (Euler path)
- ▶ assign x[e] = -1 for every edge (v, parent(v))
- ▶ assign x[e] = 1 for every edge (parent(v), v)
- perform parallel prefix
- level(v) = s[(parent(v), v)]; level(r) = 0



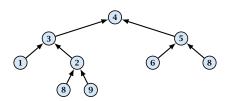
Number of descendants

- ightharpoonup split the Euler tour at node r
- this gives a list on the set of directed edges (Euler path)
- ▶ assign x[e] = 0 for every edge (parent(v), v)
- ▶ assign x[e] = 1 for every edge $(v, parent(v)), v \neq r$
- perform parallel prefix
- ightharpoonup size(v) = s[(v, parent(v))] s[(parent(v), v)]



Given a binary tree T.

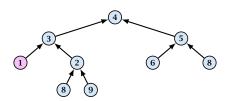
- remove u and p(u)
- attach sibling of u to p(p(u))





Given a binary tree T.

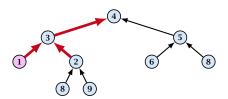
- remove u and p(u)
- attach sibling of u to p(p(u))





Given a binary tree T.

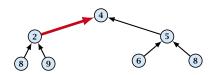
- remove u and p(u)
- attach sibling of u to p(p(u))





Given a binary tree T.

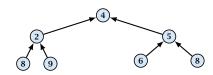
- remove u and p(u)
- ▶ attach sibling of u to p(p(u))





Given a binary tree T.

- remove u and p(u)
- attach sibling of u to p(p(u))





Possible Problems:

we could concurrently apply the rake-operation to two siblings

we could concurrently apply the rake-operation to two leaves a seed a curls that a fix a and which are connective.



Possible Problems:

- we could concurrently apply the rake-operation to two siblings
- 2. we could concurrently apply the rake-operation to two leaves u and v such that p(u) and p(v) are connected as v and v such that v and v are connected as v and v and v are connected as v and



Possible Problems:

- we could concurrently apply the rake-operation to two siblings
- 2. we could concurrently apply the rake-operation to two leaves u and v such that p(u) and p(v) are connected



Possible Problems:

- we could concurrently apply the rake-operation to two siblings
- 2. we could concurrently apply the rake-operation to two leaves u and v such that p(u) and p(v) are connected



- ▶ label leaves consecutively from left to right (excluding left-most and right-most leaf), and store them in an array *A*
- for $\lceil \log(n+1) \rceil$ iterations



- ► label leaves consecutively from left to right (excluding left-most and right-most leaf), and store them in an array A
- for $\lceil \log(n+1) \rceil$ iterations
 - apply rake to all odd leaves that are left children
 - apply rake operation to remaining odd leaves (odd at start of round!!!)
 - A=even leaves



- label leaves consecutively from left to right (excluding left-most and right-most leaf), and store them in an array A
- for $\lceil \log(n+1) \rceil$ iterations
 - apply rake to all odd leaves that are left children
 - apply rake operation to remaining odd leaves (odd at start of round!!!)
 - A=even leaves



- label leaves consecutively from left to right (excluding left-most and right-most leaf), and store them in an array A
- for $\lceil \log(n+1) \rceil$ iterations
 - apply rake to all odd leaves that are left children
 - apply rake operation to remaining odd leaves (odd at start of round!!!)
 - ► A=even leaves



- label leaves consecutively from left to right (excluding left-most and right-most leaf), and store them in an array A
- for $\lceil \log(n+1) \rceil$ iterations
 - apply rake to all odd leaves that are left children
 - apply rake operation to remaining odd leaves (odd at start of round!!!)
 - A=even leaves



Observations

- the rake operation does not change the order of leaves
- two leaves that are siblings do not perform a rake operation in the same round because one is even and one odd at the start of the round
- two leaves that have adjacent parents either have different parity (even/odd) or they differ in the type of child (left/right)



Observations

- the rake operation does not change the order of leaves
- two leaves that are siblings do not perform a rake operation in the same round because one is even and one odd at the start of the round
- two leaves that have adjacent parents either have different parity (even/odd) or they differ in the type of child (left/right)

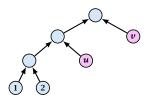


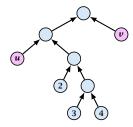
Observations

- the rake operation does not change the order of leaves
- two leaves that are siblings do not perform a rake operation in the same round because one is even and one odd at the start of the round
- two leaves that have adjacent parents either have different parity (even/odd) or they differ in the type of child (left/right)

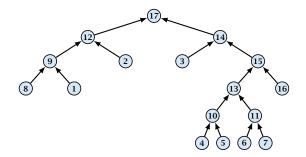


Cases, when the left edge btw. p(u) and p(v) is a left-child edge.

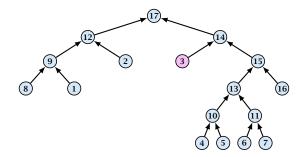




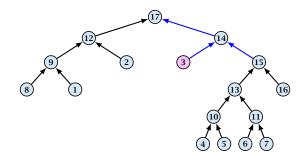




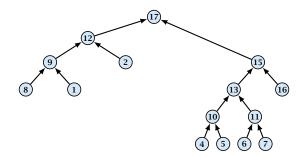




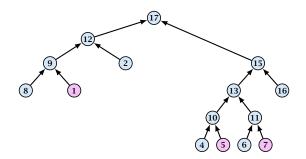




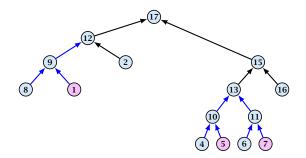




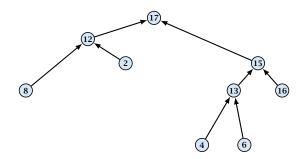




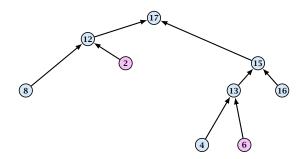




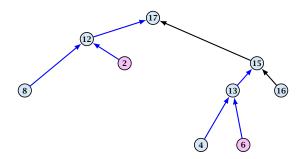




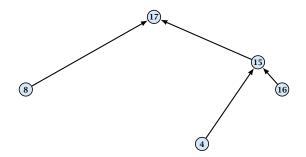




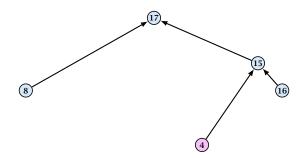






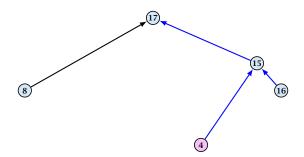






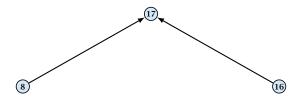


Example





Example





- one iteration can be performed in constant time with $\mathcal{O}(|A|)$ processors, where A is the array of leaves;
- ▶ hence, all iterations can be performed in $\mathcal{O}(\log n)$ time and $\mathcal{O}(n)$ work;
- the intial parallel prefix also requires time $\mathcal{O}(\log n)$ and work $\mathcal{O}(n)$



- one iteration can be performed in constant time with $\mathcal{O}(|A|)$ processors, where A is the array of leaves;
- ▶ hence, **all** iterations can be performed in $O(\log n)$ time and O(n) work;
- ▶ the intial parallel prefix also requires time $O(\log n)$ and work O(n)



- one iteration can be performed in constant time with $\mathcal{O}(|A|)$ processors, where A is the array of leaves;
- ▶ hence, **all** iterations can be performed in $O(\log n)$ time and O(n) work;
- ▶ the intial parallel prefix also requires time $O(\log n)$ and work O(n)

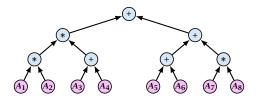


Suppose that we want to evaluate an expression tree, containing additions and multiplications.



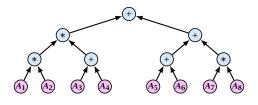


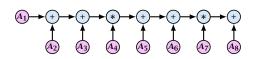
Suppose that we want to evaluate an expression tree, containing additions and multiplications.





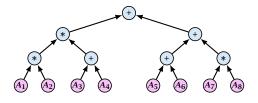
Suppose that we want to evaluate an expression tree, containing additions and multiplications.

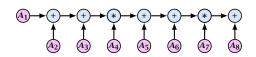






Suppose that we want to evaluate an expression tree, containing additions and multiplications.







Applying the rake-operation changes the tree.

In order to maintain the value we introduce parameters a_v and b_v for every node that still allows to compute the value of a node based on the value of its children.

Invariant:

Let u be internal node with children v and w. Then

$$\operatorname{val}(u) = (a_v \cdot \operatorname{val}(v) + b_v) \otimes (a_w \cdot \operatorname{val}(w) + b_w)$$

where $\otimes \in \{*, +\}$ is the operation at node u.

Initially, we can choose $a_v = 1$ and $b_v = 0$ for every node



Applying the rake-operation changes the tree.

In order to maintain the value we introduce parameters a_v and b_v for every node that still allows to compute the value of a node based on the value of its children.

Invariant:

Let u be internal node with children v and w. Then

$$val(u) = (a_v \cdot val(v) + b_v) \otimes (a_w \cdot val(w) + b_w)$$

where $\otimes \in \{*, +\}$ is the operation at node u.

Initially, we can choose $a_v = 1$ and $b_v = 0$ for every node.



Applying the rake-operation changes the tree.

In order to maintain the value we introduce parameters a_{ν} and b_{ν} for every node that still allows to compute the value of a node based on the value of its children.

Invariant:

Let u be internal node with children v and w. Then

$$val(u) = (a_v \cdot val(v) + b_v) \otimes (a_w \cdot val(w) + b_w)$$

where $\otimes \in \{*,+\}$ is the operation at node u.

Initially, we can choose $a_v = 1$ and $b_v = 0$ for every node



Applying the rake-operation changes the tree.

In order to maintain the value we introduce parameters a_{ν} and b_{ν} for every node that still allows to compute the value of a node based on the value of its children.

Invariant:

Let u be internal node with children v and w. Then

$$val(u) = (a_v \cdot val(v) + b_v) \otimes (a_w \cdot val(w) + b_w)$$

where $\otimes \in \{*, +\}$ is the operation at node u.

Initially, we can choose $a_v = 1$ and $b_v = 0$ for every node



Applying the rake-operation changes the tree.

In order to maintain the value we introduce parameters a_{ν} and b_{ν} for every node that still allows to compute the value of a node based on the value of its children.

Invariant:

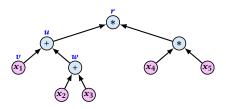
Let u be internal node with children v and w. Then

$$val(u) = (a_v \cdot val(v) + b_v) \otimes (a_w \cdot val(w) + b_w)$$

where $\otimes \in \{*, +\}$ is the operation at node u.

Initially, we can choose $a_v = 1$ and $b_v = 0$ for every node.





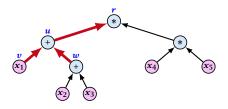
Currently the value at u is

$$val(u) = (a_u \cdot val(u) + b_u) + (a_w \cdot val(u) + b_w) + (a_w \cdot val(u) + b_w)$$

$$a_{n} \cdot (x_1 + (a_m \cdot \text{val}(w) + b_m)) + a_n$$

$$= \underbrace{a_n a_m}_{a_m} \cdot \text{val}(w) + \underbrace{a_n x_1 + a_n b_m + b_m}_{B_m}$$





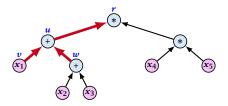
Currently the value at u is

 $val(u) = (a_0 \cdot val(v) + b_0) + (a_0 \cdot val(w) + b_0)$ = $v_0 + (a_0 \cdot val(w) + b_0)$

In the expression for r this goes in as

 $a_u \cdot [x_1 + (a_w \cdot \text{val}(w) + b_w)] + b_u$



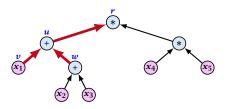


Currently the value at u is

In the expression for r this goes in as

© Harald Räcke





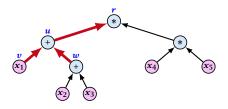
Currently the value at u is

$$val(u) = (a_v \cdot val(v) + b_v) + (a_w \cdot val(w) + b_w)$$
$$= x_1 + (a_w \cdot val(w) + b_w)$$

$$= g_{\alpha}g_{\alpha} \cdot \text{val}(w) + g_{\alpha}g_{\alpha} + g_{\alpha}h_{\alpha} + h_{\alpha}h_{\alpha}$$

$$a'_{m}$$
 = a'_{m} = a'_{m} = a'_{m} = a'_{m}





Currently the value at u is

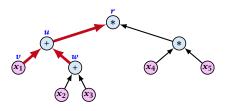
$$val(u) = (a_v \cdot val(v) + b_v) + (a_w \cdot val(w) + b_w)$$

$$= x_1 + (a_w \cdot \text{val}(w) + b_w)$$

$$a_{w} \cdot [x_{1} + (a_{w} \cdot \operatorname{val}(w) + b_{w})] + b_{w}$$

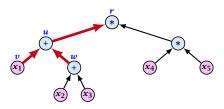
$$= \underbrace{a_n a_m}_{r} \cdot \text{val}(w) + \underbrace{a_n x_1 + a_n b_m + b_n}_{r}$$





Currently the value at u is

$$val(u) = (a_v \cdot val(v) + b_v) + (a_w \cdot val(w) + b_w)$$
$$= x_1 + (a_w \cdot val(w) + b_w)$$

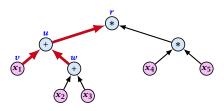


Currently the value at u is

$$val(u) = (a_v \cdot val(v) + b_v) + (a_w \cdot val(w) + b_w)$$
$$= x_1 + (a_w \cdot val(w) + b_w)$$

$$a_{u} \cdot [x_{1} + (a_{w} \cdot \text{val}(w) + b_{w})] + b_{u}$$

$$= \underbrace{a_{u} a_{w}}_{a_{w}} \cdot \text{val}(w) + \underbrace{a_{u} x_{1} + a_{u} b_{w} + b_{u}}_{b_{w}}$$



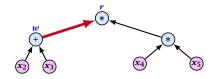
Currently the value at u is

$$val(u) = (a_v \cdot val(v) + b_v) + (a_w \cdot val(w) + b_w)$$
$$= x_1 + (a_w \cdot val(w) + b_w)$$

$$a_u \cdot [x_1 + (a_w \cdot \text{val}(w) + b_w)] + b_u$$

= $a_u a_w \cdot \text{val}(w) + a_u x_1 + a_u b_w + b_u$





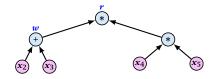
Currently the value at u is

$$val(u) = (a_v \cdot val(v) + b_v) + (a_w \cdot val(w) + b_w)$$
$$= x_1 + (a_w \cdot val(w) + b_w)$$

$$a_u \cdot [x_1 + (a_w \cdot \text{val}(w) + b_w)] + b_u$$

= $a_u a_w \cdot \text{val}(w) + a_u x_1 + a_u b_w + b_u$





Currently the value at u is

$$val(u) = (a_v \cdot val(v) + b_v) + (a_w \cdot val(w) + b_w)$$
$$= x_1 + (a_w \cdot val(w) + b_w)$$

$$a_{u} \cdot [x_{1} + (a_{w} \cdot \text{val}(w) + b_{w})] + b_{u}$$

$$= \underbrace{a_{u}a_{w}}_{a'_{w}} \cdot \text{val}(w) + \underbrace{a_{u}x_{1} + a_{u}b_{w} + b_{u}}_{b'_{w}}$$



If we change the a and b-values during a rake-operation according to the previous slide we can calculate the value of the root in the end.

Lemma 2

We can evaluate an arithmetic expression tree in time $O(\log n)$ and work O(n) regardless of the height or depth of the tree.

By performing the rake-operation in the reverse order we can also compute the value at each node in the tree.



If we change the a and b-values during a rake-operation according to the previous slide we can calculate the value of the root in the end.

Lemma 2

We can evaluate an arithmetic expression tree in time $O(\log n)$ and work O(n) regardless of the height or depth of the tree.

By performing the rake-operation in the reverse order we can also compute the value at each node in the tree.



If we change the a and b-values during a rake-operation according to the previous slide we can calculate the value of the root in the end.

Lemma 2

We can evaluate an arithmetic expression tree in time $O(\log n)$ and work O(n) regardless of the height or depth of the tree.

By performing the rake-operation in the reverse order we can also compute the value at each node in the tree.



Lemma 3

We compute tree functions for arbitrary trees in time $O(\log n)$ and a linear number of operations.

proof on board...

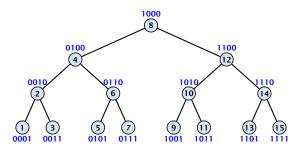


In the LCA (least common ancestor) problem we are given a tree and the goal is to design a data-structure that answers LCA-queries in constant time.



Least Common Ancestor

LCAs on complete binary trees (inorder numbering):

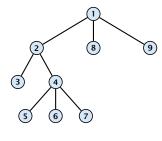


The least common ancestor of u and v is

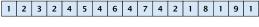
$$z_1 z_2 \dots z_i 10 \dots 0$$

where z_{i+1} is the first bit-position in which u and v differ.

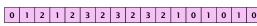
Least Common Ancestor



nodes



levels





 $\ell(v)$ is index of first appearance of v in node-sequence.

r(v) is index of last appearance of v in node-squence.

 $\ell(v)$ and r(v) can be computed in constant time, given the node- and level-sequence.



Least Common Ancestor

Lemma 4

- **1.** u is ancestor of v iff $\ell(u) < \ell(v) < r(u)$
- 2. u and v are not related iff either $r(u) < \ell(v)$ or $r(v) < \ell(u)$
- **3.** suppose $r(u) < \ell(v)$ then LCA(u, v) is vertex with minimum level over interval $[r(u), \ell(v)]$.



Range Minima Problem

Given an array A[1...n], a range minimum query (ℓ, r) consists of a left index $\ell \in \{1, ..., n\}$ and a right index $r \in \{1, ..., n\}$.

The answer has to return the index of the minimum element in the subsequence $A[\ell \dots r].$

The goal in the range minima problem is to preprocess the array such that range minima queries can be answered quickly (constant time).



Range Minima Problem

Given an array A[1...n], a range minimum query (ℓ,r) consists of a left index $\ell \in \{1,...,n\}$ and a right index $r \in \{1,...,n\}$.

The answer has to return the index of the minimum element in the subsequence $A[\ell \dots r]$.

The goal in the range minima problem is to preprocess the array such that range minima queries can be answered quickly (constant time).



Range Minima Problem

Given an array A[1...n], a range minimum query (ℓ,r) consists of a left index $\ell \in \{1,...,n\}$ and a right index $r \in \{1,...,n\}$.

The answer has to return the index of the minimum element in the subsequence $A[\ell \dots r]$.

The goal in the range minima problem is to preprocess the array such that range minima queries can be answered quickly (constant time).



Range Minima Problem

Given an array A[1...n], a range minimum query (ℓ,r) consists of a left index $\ell \in \{1,...,n\}$ and a right index $r \in \{1,...,n\}$.

The answer has to return the index of the minimum element in the subsequence $A[\ell \dots r]$.

The goal in the range minima problem is to preprocess the array such that range minima queries can be answered quickly (constant time).



Given an algorithm for solving the range minima problem in time T(n) and work W(n) we can obtain an algorithm that solves the LCA-problem in time $\mathcal{O}(T(n) + \log n)$ and work $\mathcal{O}(n + W(n))$.

Remark

In the sequential setting the LCA-problem and the range minima problem are equivalent. This is not necessarily true in the parallel setting.



Given an algorithm for solving the range minima problem in time T(n) and work W(n) we can obtain an algorithm that solves the LCA-problem in time $\mathcal{O}(T(n) + \log n)$ and work $\mathcal{O}(n + W(n))$.

Remark

In the sequential setting the LCA-problem and the range minima problem are equivalent. This is not necessarily true in the parallel setting.



Given an algorithm for solving the range minima problem in time T(n) and work W(n) we can obtain an algorithm that solves the LCA-problem in time $\mathcal{O}(T(n) + \log n)$ and work $\mathcal{O}(n + W(n))$.

Remark

In the sequential setting the LCA-problem and the range minima problem are equivalent. This is not necessarily true in the parallel setting.



Given an algorithm for solving the range minima problem in time T(n) and work W(n) we can obtain an algorithm that solves the LCA-problem in time $\mathcal{O}(T(n) + \log n)$ and work $\mathcal{O}(n + W(n))$.

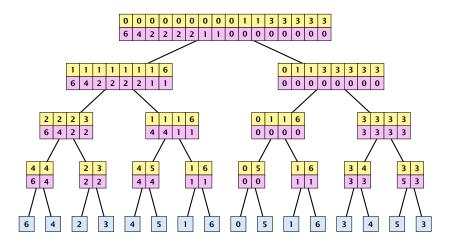
Remark

In the sequential setting the LCA-problem and the range minima problem are equivalent. This is not necessarily true in the parallel setting.



Prefix and Suffix Minima

Tree with prefix-minima and suffix-minima:





- Suppose we have an array A of length $n = 2^k$
- \blacktriangleright We compute a complete binary tree T with n leaves.
- ► Each internal node corresponds to a subsequence of *A*. It contains an array with the prefix and suffix minima of this subsequence.

Given the tree T we can answer a range minimum query (ℓ, r) in constant time.

- we can determine the LCA x of ℓ and x in constant time since T is a complete binary tree
- Then we consider the suffix minimum of ℓ in the left child
- of x and the prefix minimum of r in the right child of x.
- The minimum of these two values is the result.



- Suppose we have an array A of length $n = 2^k$
- ▶ We compute a complete binary tree *T* with *n* leaves.
- Each internal node corresponds to a subsequence of A. It contains an array with the prefix and suffix minima of this subsequence.

Given the tree T we can answer a range minimum query (ℓ,r) in constant time.

```
we can determine the LCA \times of \ell and \sigma in constant time since \Gamma is a complete binary tree
```

```
Then we consider the suffix minimum of \ell in the left child of \infty and the prefix minimum of \tau in the right child of \infty.
```



- Suppose we have an array A of length $n = 2^k$
- ightharpoonup We compute a complete binary tree T with n leaves.
- ► Each internal node corresponds to a subsequence of *A*. It contains an array with the prefix and suffix minima of this subsequence.

Given the tree T we can answer a range minimum query (ℓ, r) in constant time.



- Suppose we have an array A of length $n = 2^k$
- We compute a complete binary tree T with n leaves.
- ► Each internal node corresponds to a subsequence of *A*. It contains an array with the prefix and suffix minima of this subsequence.

Given the tree T we can answer a range minimum query (ℓ,r) in constant time.

- we can determine the LCA x of ℓ and r in constant time since T is a complete binary tree
- ▶ Then we consider the suffix minimum of ℓ in the left child of x and the prefix minimum of r in the right child of x.
- The minimum of these two values is the result



- Suppose we have an array A of length $n = 2^k$
- ▶ We compute a complete binary tree *T* with *n* leaves.
- ► Each internal node corresponds to a subsequence of *A*. It contains an array with the prefix and suffix minima of this subsequence.

Given the tree T we can answer a range minimum query (ℓ,r) in constant time.

- we can determine the LCA x of ℓ and r in constant time since T is a complete binary tree
- ▶ Then we consider the suffix minimum of ℓ in the left child of x and the prefix minimum of r in the right child of x.
- ▶ The minimum of these two values is the result



- Suppose we have an array A of length $n = 2^k$
- ▶ We compute a complete binary tree *T* with *n* leaves.
- ► Each internal node corresponds to a subsequence of *A*. It contains an array with the prefix and suffix minima of this subsequence.

Given the tree T we can answer a range minimum query (ℓ, r) in constant time.

- we can determine the LCA x of ℓ and r in constant time since T is a complete binary tree
- ▶ Then we consider the suffix minimum of ℓ in the left child of x and the prefix minimum of r in the right child of x.
- The minimum of these two values is the result.



Lemma 5

We can solve the range minima problem in time $O(\log n)$ and work $O(n\log n)$.



Partition A into blocks B_i of length $\log n$

Preprocess each B_i block separately by a sequential algorithm so that range-minima queries within the block can be answered in constant time. (how?)

For each block B_i compute the minimum $oldsymbol{x}_i$ and its prefix and suffix minima.

Use the previous algorithm on the array $(x_1, \ldots, x_{n/\log n})$.



Partition A into blocks B_i of length $\log n$

Preprocess each B_i block separately by a sequential algorithm so that range-minima queries within the block can be answered in constant time. (how?)

For each block B_i compute the minimum $oldsymbol{x}_i$ and its prefix and suffix minima.

Use the previous algorithm on the array $(x_1, ..., x_{n/\log n})$



Partition A into blocks B_i of length $\log n$

Preprocess each B_i block separately by a sequential algorithm so that range-minima queries within the block can be answered in constant time. (how?)

For each block B_i compute the minimum x_i and its prefix and suffix minima.

Use the previous algorithm on the array $(x_1, \ldots, x_{n/\log n})$



Partition A into blocks B_i of length $\log n$

Preprocess each B_i block separately by a sequential algorithm so that range-minima queries within the block can be answered in constant time. (how?)

For each block B_i compute the minimum x_i and its prefix and suffix minima.

Use the previous algorithm on the array $(x_1, \dots, x_{n/\log n})$.



Answering a query (ℓ, r) :

- if ℓ and r are from the same block the data-structure for this block gives us the result in constant time
- if ℓ and r are from different blocks the result is a minimum of three elements:
 - ullet the suffix minmum of entry ℓ in ℓ 's block
 - the minimum among $x_{\ell+1}, \ldots, x_{r-1}$
 - the prefix minimum of entry r in r's block



Answering a query (ℓ, r) :

- if ℓ and r are from the same block the data-structure for this block gives us the result in constant time
- if ℓ and r are from different blocks the result is a minimum of three elements:
 - ullet the suffix minmum of entry ℓ in ℓ 's block
 - the minimum among $x_{\ell+1}, \ldots, x_{r-1}$
 - the prefix minimum of entry r in r's block

