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Let  $(a_n)_{n\geq 0}$  be a sequence. The corresponding

generating function (Erzeugendenfunktion) is

$$F(z) := \sum_{n=0}^{\infty} a_n z^n;$$

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#### Example 8

1. The generating function of the sequence  $(1,0,0,\ldots)$  is

$$F(z) = 1$$
.

2. The generating function of the sequence  $(1, 1, 1, \ldots)$  is

$$F(z) = \frac{1}{1-z} \,.$$



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#### There are two different views:

A generating function is a formal power series (formale Potenzreihe).

Then the generating function is an algebraic object.

Let 
$$f = \sum_{n=0}^{\infty} a_n z^n$$
 and  $g = \sum_{n=0}^{\infty} b_n z^n$ .

- **Equality:** f and g are equal if  $a_n = b_n$  for all n.
- Addition:  $f + g := \sum_{n=0}^{\infty} (a_n + b_n) z^n$ .
- ▶ Multiplication:  $f \cdot g := \sum_{n=0}^{\infty} c_n z^n$  with  $c = \sum_{p=0}^{n} a_p b_{n-p}$ .



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#### The arithmetic view:

We view a power series as a function  $f: \mathbb{C} \to \mathbb{C}$ .

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What does  $\sum_{n=0}^{\infty} z^n = \frac{1}{1-z}$  mean in the algebraic view?

It means that the power series 1-z and the power series  $\sum_{n=0}^{\infty} z^n$  are invers, i.e.,

$$(1-z)\cdot \left(\sum_{n=0}^{\infty} z^n\right) = 1$$

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Hence, the generating function of the sequence  $a_n = n + 1$  is  $1/(1-z)^2$ .



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$$\sum_{n=0}^{\infty} (n+1)z^n = \frac{1}{(1-z)^2} .$$



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Derivative:

$$\sum_{n\geq 1} n(n+1)z^{n-1} = \frac{2}{(1-z)^3}$$



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$$\sum_{\substack{n \ge 1 \\ \sum_{n=0}^{\infty} (n+1)(n+2)z^n}} n(n+1)z^{n-1} = \frac{2}{(1-z)^3}$$



We can repeat this

$$\sum_{n=0}^{\infty} (n+1)z^n = \frac{1}{(1-z)^2} \ .$$

Derivative:

$$\sum_{\substack{n \ge 1 \\ \sum_{n=0}^{\infty} (n+1)(n+2)z^n}} n(n+1)z^{n-1} = \frac{2}{(1-z)^3}$$

Hence, the generating function of the sequence  $a_n = (n+1)(n+2)$  is  $\frac{2}{(1-z)^2}$ .





$$\sum_{n\geq k} n(n-1)\dots(n-k+1)z^{n-k}$$



$$\sum_{n \ge k} n(n-1) \dots (n-k+1) z^{n-k} = \sum_{n \ge 0} (n+k) \dots (n+1) z^n$$



$$\sum_{n\geq k} n(n-1)\dots(n-k+1)z^{n-k} = \sum_{n\geq 0} (n+k)\dots(n+1)z^n$$
$$= \frac{k!}{(1-z)^{k+1}}.$$



Computing the k-th derivative of  $\sum z^n$ .

$$\sum_{n \ge k} n(n-1) \dots (n-k+1) z^{n-k} = \sum_{n \ge 0} (n+k) \dots (n+1) z^n$$
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Hence:

$$\sum_{n\geq 0} \binom{n+k}{k} z^n = \frac{1}{(1-z)^{k+1}}.$$



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$$\sum_{n \ge k} n(n-1) \dots (n-k+1) z^{n-k} = \sum_{n \ge 0} (n+k) \dots (n+1) z^n$$
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Hence:

$$\sum_{n\geq 0} \binom{n+k}{k} z^n = \frac{1}{(1-z)^{k+1}} .$$

The generating function of the sequence  $a_n = \binom{n+k}{k}$  is  $\frac{1}{(1-z)^{k+1}}$ .



$$\sum_{n\geq 0} nz^n = \sum_{n\geq 0} (n+1)z^n - \sum_{n\geq 0} z^n$$



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$$= \frac{1}{(1-z)^2} - \frac{1}{1-z}$$

$$= \frac{z}{(1-z)^2}$$

The generating function of the sequence  $a_n = n$  is  $\frac{z}{(1-z)^2}$ .



We know

$$\sum_{n\geq 0} y^n = \frac{1}{1-y}$$

Hence,

$$\sum_{n>0} a^n z^n = \frac{1}{1 - az}$$

The generating function of the sequence  $f_n = a^n$  is  $\frac{1}{1-az}$ 



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Hence,

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The generating function of the sequence  $f_n = a^n$  is  $\frac{1}{1-a_n}$ .



Suppose we have again the recurrence  $a_n = a_{n-1} + 1$  for  $n \ge 1$  and  $a_0 = 1$ .

A(z)



$$A(z) = \sum_{n \ge 0} a_n z^n$$



$$A(z) = \sum_{n \ge 0} a_n z^n$$
  
=  $a_0 + \sum_{n \ge 1} (a_{n-1} + 1) z^n$ 



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$$= a_0 + \sum_{n \ge 1} (a_{n-1} + 1) z^n$$

$$= 1 + z \sum_{n \ge 1} a_{n-1} z^{n-1} + \sum_{n \ge 1} z^n$$



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$$= zA(z) + \sum_{n \ge 0} z^n$$



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$$= zA(z) + \frac{1}{1 - z}$$





$$A(z) = \frac{1}{(1-z)^2}$$

$$\sum_{n \ge 0} a_n z^n = A(z) = \frac{1}{(1-z)^2}$$

$$\sum_{n\geq 0} a_n z^n = A(z) = \frac{1}{(1-z)^2} = \sum_{n\geq 0} (n+1) z^n$$

Solving for A(z) gives

$$\sum_{n\geq 0} a_n z^n = A(z) = \frac{1}{(1-z)^2} = \sum_{n\geq 0} (n+1) z^n$$

Hence,  $a_n = n + 1$ .

| <i>n</i> -th sequence element | generating function |
|-------------------------------|---------------------|
| 1                             | $\frac{1}{1-z}$     |
| n + 1                         |                     |
| $\binom{n+k}{n}$              |                     |
| n                             |                     |
| $a^n$                         |                     |
| $n^2$                         |                     |
| $\frac{1}{n!}$                |                     |



| <i>n</i> -th sequence element | generating function |
|-------------------------------|---------------------|
| 1                             | $\frac{1}{1-z}$     |
| n + 1                         |                     |
| $\binom{n+k}{n}$              |                     |
| n                             |                     |
| $a^n$                         |                     |
| $n^2$                         |                     |
| $\frac{1}{n!}$                |                     |



| <i>n</i> -th sequence element | generating function      |
|-------------------------------|--------------------------|
| 1                             | $\frac{1}{1-z}$          |
| n+1                           | $\frac{1}{(1-z)^2}$      |
| $\binom{n+k}{n}$              | $\frac{1}{(1-z)^{k+1}}$  |
|                               | $\frac{z}{(1-z)^2}$      |
|                               | $\frac{1}{1-az}$         |
|                               | $\frac{z(1+z)}{(1-z)^3}$ |
| $\frac{1}{n!}$                | $\frac{z(1+z)}{(1-z)^3}$ |



| $\emph{n}$ -th sequence element | generating function      |
|---------------------------------|--------------------------|
| 1                               | $\frac{1}{1-z}$          |
| n+1                             | $\frac{1}{(1-z)^2}$      |
| $\binom{n+k}{n}$                | $\frac{1}{(1-z)^{k+1}}$  |
| n                               | $\frac{z}{(1-z)^2}$      |
| $a^n$                           | $\frac{1}{1-az}$         |
| $n^2$                           | $\frac{z(1+z)}{(1-z)^3}$ |
| $\frac{1}{n!}$                  | $\frac{z(1+z)}{(1-z)^3}$ |



| $\emph{n}$ -th sequence element | generating function      |
|---------------------------------|--------------------------|
| 1                               | $\frac{1}{1-z}$          |
| n + 1                           | $\frac{1}{(1-z)^2}$      |
| $\binom{n+k}{n}$                | $\frac{1}{(1-z)^{k+1}}$  |
| n                               | $\frac{z}{(1-z)^2}$      |
| $a^n$                           | $\frac{1}{1-az}$         |
| $n^2$                           | $\frac{z(1+z)}{(1-z)^3}$ |
| $\frac{1}{n!}$                  | $\frac{z(1+z)}{(1-z)^3}$ |



| $m{n}$ -th sequence element | generating function      |
|-----------------------------|--------------------------|
| 1                           | $\frac{1}{1-z}$          |
| n+1                         | $\frac{1}{(1-z)^2}$      |
| $\binom{n+k}{n}$            | $\frac{1}{(1-z)^{k+1}}$  |
| n                           | $\frac{z}{(1-z)^2}$      |
| $a^n$                       | $\frac{1}{1-az}$         |
| $n^2$                       | $\frac{z(1+z)}{(1-z)^3}$ |
| $\frac{1}{n!}$              | $\frac{z(1+z)}{(1-z)^3}$ |



| <b>n</b> -th sequence element | generating function      |
|-------------------------------|--------------------------|
| 1                             | $\frac{1}{1-z}$          |
| n+1                           | $\frac{1}{(1-z)^2}$      |
| $\binom{n+k}{n}$              | $\frac{1}{(1-z)^{k+1}}$  |
| n                             | $\frac{z}{(1-z)^2}$      |
| $a^n$                         | $\frac{1}{1-az}$         |
| $n^2$                         | $\frac{z(1+z)}{(1-z)^3}$ |
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| $\emph{n}$ -th sequence element | generating function      |
|---------------------------------|--------------------------|
| 1                               | $\frac{1}{1-z}$          |
| n+1                             | $\frac{1}{(1-z)^2}$      |
| $\binom{n+k}{n}$                | $\frac{1}{(1-z)^{k+1}}$  |
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| <i>n</i> -th sequence element          | generating function |
|--|---------------------|
| $cf_n$                                 | cF                  |
| $f_n + g_n$                            |                     |
| $\sum_{i=0}^{n} f_i \mathcal{G}_{n-i}$ |                     |
| $f_{n-k}$ $(n \ge k)$ ; 0 otw.         |                     |
| $\sum_{i=0}^{n} f_i$                   |                     |
| $nf_n$                                 |                     |
| $c^n f_n$                              |                     |



| <i>n</i> -th sequence element          | generating function |
|--|---------------------|
| $cf_n$                                 | cF                  |
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| $nf_n$                                 |                     |
| $c^n f_n$                              |                     |



| <i>n</i> -th sequence element          | generating function |
|--|---------------------|
| $cf_n$                                 | cF                  |
| $f_n + g_n$                            | F + G               |
| $\sum_{i=0}^{n} f_i \mathcal{G}_{n-i}$ |                     |
| $f_{n-k}$ $(n \ge k)$ ; 0 otw.         |                     |
| $\sum_{i=0}^{n} f_i$                   |                     |
| $nf_n$                                 |                     |
| $c^n f_n$                              |                     |



| <i>n</i> -th sequence element          | generating function |
|--|---------------------|
| $cf_n$                                 | cF                  |
| $f_n + g_n$                            | F + G               |
| $\sum_{i=0}^{n} f_i \mathcal{G}_{n-i}$ | $F\cdot G$          |
| $f_{n-k}$ $(n \ge k)$ ; 0 otw.         |                     |
| $\sum_{i=0}^{n} f_i$                   |                     |
| $nf_n$                                 |                     |
| $c^n f_n$                              |                     |



| <i>n</i> -th sequence element        | generating function |
|--------------------------------------|---------------------|
| $cf_n$                               | cF                  |
| $f_n + g_n$                          | F + G               |
| $\sum_{i=0}^n f_i \mathcal{G}_{n-i}$ | $F\cdot G$          |
| $f_{n-k}$ $(n \ge k)$ ; 0 otw.       | $z^k F$             |
|                                      |                     |
| $nf_n$                               |                     |
|                                      |                     |



| <i>n</i> -th sequence element  | generating function |
|--------------------------------|---------------------|
| $cf_n$                         | cF                  |
| $f_n + g_n$                    | F + G               |
| $\sum_{i=0}^{n} f_i g_{n-i}$   | $F\cdot G$          |
| $f_{n-k}$ $(n \ge k)$ ; 0 otw. | $z^k F$             |
| $\sum_{i=0}^{n} f_i$           | $\frac{F(z)}{1-z}$  |
| $nf_n$                         |                     |
| $c^n f_n$                      |                     |



| <i>n</i> -th sequence element  | generating function                    |
|--------------------------------|--|
| $cf_n$                         | cF                                     |
| $f_n + g_n$                    | F+G                                    |
| $\sum_{i=0}^{n} f_i g_{n-i}$   | $F\cdot G$                             |
| $f_{n-k}$ $(n \ge k)$ ; 0 otw. | $z^k F$                                |
| $\sum_{i=0}^{n} f_i$           | $\frac{F(z)}{1-z}$                     |
| $nf_n$                         | $z \frac{\mathrm{d}F(z)}{\mathrm{d}z}$ |
|                                |  |



| $\emph{n}$ -th sequence element | generating function                    |
|---------------------------------|--|
| $cf_n$                          | cF                                     |
| $f_n + g_n$                     | F+G                                    |
| $\sum_{i=0}^n f_i g_{n-i}$      | $F \cdot G$                            |
| $f_{n-k}$ $(n \ge k)$ ; 0 otw.  | $z^k F$                                |
| $\sum_{i=0}^{n} f_i$            | $\frac{F(z)}{1-z}$                     |
| $nf_n$                          | $z \frac{\mathrm{d}F(z)}{\mathrm{d}z}$ |
| $c^n f_n$                       | F(cz)                                  |



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- 6. The coefficients of the resulting power series are the  $a_n$ .



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$$A(z) = \frac{(1-z)^2 + z}{(1-3z)(1-z)^2} = \frac{z^2 - z + 1}{(1-3z)(1-z)^2}$$

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$$a_n = 3a_{n-1} + n$$
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  $B = -\frac{1}{4}$   $C = -\frac{1}{2}$ 

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5. Write f(z) as a formal power series:

$$\begin{split} A(z) &= \frac{7}{4} \cdot \frac{1}{1 - 3z} - \frac{1}{4} \cdot \frac{1}{1 - z} - \frac{1}{2} \cdot \frac{1}{(1 - z)^2} \\ &= \frac{7}{4} \cdot \sum_{n \ge 0} 3^n z^n - \frac{1}{4} \cdot \sum_{n \ge 0} z^n - \frac{1}{2} \cdot \sum_{n \ge 0} (n + 1) z^n \end{split}$$



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6. This means  $a_n = \frac{7}{4}3^n - \frac{1}{2}n - \frac{3}{4}$ .